



LASALLE ST. SECURITIES, L.L.C.

FIDELITY CLEARING & CUSTODY SOLUTIONS®

Customer Account Service Fees As 7-1-2020

This is the current fee schedule for all LaSalle St. Securities, LLC Brokerage Accounts. This schedule reflects charges assessed to customers for various services rendered. Fees may be revised by LaSalle St. Securities to reflect current costs and market circumstances.

Service Provided	Fee
Processing ACAT Transfers Out	\$75.00
Returned Checks	\$25.00
Physical Reorganization	\$150.00
Legal Transfers	\$150.00
Monthly Safekeeping Fee (type 4 and type 5)	\$15.00
Legal Returns	\$75.00
Transfer & Ship (DRS Eligible)	\$35.00
Transfer & Ship (Non DRS Eligible)	\$500.00
Stop Payment	\$25.00
Overnight Delivery – non-IRA accounts	Varies Depending on Mail Zone
Overnight Delivery – IRA accounts	\$30.00
Saturday Delivery	Varies Depending on Mail Zone
Federal Reserve Wire Transfer	\$15.00
Regulation T Extension	\$15.00
Selling restricted stock (with approval) or legend removal	\$150.00
Selling Restricted Security without Prior Approval	\$250.00
Selling Restricted Stock under \$1.00	\$250.00
Inactive Account Fee (charged annually)	\$40.00
IRA Fees - Initiation Fee	None
IRA Annual Fees (Not Prorated)	\$35.00
IRA Termination Fee	\$125.00
Systematic Withdrawal Plans	\$5.00
Brokerage Access /Debit Card	\$50.00
IRS Form 990-T Tax Filing Fee	300.00

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Clearing Through National Financial Services, LLC (A Fidelity Company)