

ANNUAL CUSTODY FEE	
Accounts holding Standard Assets	
Includes, but is not limited to; Mutual Funds, ETFs, Equities, Fixed-Income Products	No Charge
Accounts holding Alternative Investments	
Basic - Includes, but is not limited to; Public Limited Partnerships, Public Limited Liability Companies, and Public REITs	\$75 per account (charged quarterly in arrears and is not pro-rated)
Flex - Includes, but is not limited to; Precious Metals, Promissory Notes or Corporate Debt, Futures Trading Accounts, Trust Deeds / Real Estate Contracts, Real Estate, Private Stock, Private Limited Partnerships, Private Limited Liability Companies, Private REITs, Perth Mint Certificates, Annuities and other Private Placements or Non-Standard Assets	<i>Please refer to the Flex Alternative Asset Schedule Below</i>
MUTUAL FUNDS¹	
No Transaction Fee Funds	No Charge
Transaction Fee Funds	\$20 processing fee per transaction
SPECIAL SERVICE FEES (Charged at time service is rendered)	
Wire Transfer Fee - Domestic (Charged on outgoing wires only)	\$30 each
Wire Transfer Fee - International (Charged on outgoing wires only)	\$50 each
NSF/Return Check Fee	\$30 each
Coin Shipping/Handling Fee (\$50 minimum)	Cost + \$10
Overnight Mail Service Fee	\$25 each
Precious Metals Storage Fee	\$125 (charged at Account establishment and each January thereafter)
Document Retrieval/Research Fee	\$40 per hour
Distribution/Transfer of Alternative Investment Re-registration Fee	\$100 per asset
Paper Statement Fee (This fee may be avoided by enrolling in E-Delivery)	\$7.50 per quarter
Asset Sponsor Re-registration Fee	Fee charged by Asset Sponsor

Flex Alternative Asset Schedule	Number of Accounts per Advisor			
Per Asset Value	1 to 9*	10 to 24*	25 to 99*	100 to 499*
\$1 - \$49,999	\$225	\$225	\$191	\$180
\$50,000 - \$99,999	\$250	\$250	\$213	\$200
\$100,000 - \$249,999	\$300	\$270	\$255	\$240
\$250,000 - \$499,999	\$400	\$360	\$340	\$320
\$500,000 - \$999,999	\$550	\$495	\$468	\$440
\$1,000,000 - \$2,499,999	\$1,000	\$900	\$850	\$800
\$2,500,000 - \$4,999,999	\$1,500	\$1,350	\$1,275	\$1,200
\$5,000,000 - \$7,499,999	\$2,000	\$1,800	\$1,700	\$1,600
\$7,500,000 - \$9,999,999	\$2,500	\$2,250	\$2,125	\$2,000
> \$10,000,000	\$3,000	\$2,700	\$2,550	\$2,400

*Note: Fees are discounted based upon corresponding Advisor volume. The annual custody fee is charged quarterly in arrears and is not pro-rated.

FEE SCHEDULE DISCLOSURES

In accordance with the terms of your Account Agreement, you authorize the Custodian to deduct fees from your Account or liquidate assets to pay for such fees. In addition, the Custodian reserves the right to assess a late fee for every thirty (30) calendar days the Account has past-due fees. If you have any questions regarding this fee schedule, please contact a service representative at (877) 819-8918.

NOTE: Cleared funds must be available in the Account in order to facilitate an investment transaction.

¹Such transactions are executed by a third-party mutual fund platform provider.

INVESTMENT PRODUCTS: NOT FDIC INSURED • NO BANK GUARANTEE • MAY LOSE VALUE

P. O. BOX 451249 • WESTLAKE, OH 44145 • PHONE: (877) 819-8918 • FAX: 440-365-1447 • EMAIL: EASOPSTEAM@TRUSTETC.COM

EQUITY TRUST COMPANY, D011, REV. 01/2015

PER TRANSACTION FEES	
STOCKS	
Equity Securities - Exchange - Traded Funds	\$15 Allocation Fee*
OPTIONS	
Options	\$18 Allocation Fee* (plus \$1.00 per contract)
FIXED INCOME INVESTMENTS (CAN ONLY BE PURCHASED THROUGH BROKER ASSISTANCE)	
Bonds, Mortgage-Backed Securities, OTC Corporate Bonds, Government Securities, Collateralized Mortgage Obligations, Money Market Instruments/CDs, Municipal Bonds, Unit Investment Trusts, and Zero Coupon Bonds	\$30 Allocation Fee*
MICROCAP	
Microcap and Unregistered Securities	Pricing Available Upon Request
TRANSFER OUT FEE	
ACAT - Non-ACAT (Full or Partial) Transfer Out	\$60 per Brokerage Account
BROKERAGE HANDLING FEE	
Such fee is charged by the Clearing Firm (COR Clearing)	\$3.50 per brokerage transaction. No charge if Account Owner "opts-in" for electronic delivery.
INACTIVITY FEE	
To the extent applicable, an annual custody fee is assessed on each brokerage account established with ETC Brokerage Services which holds a position and for which there has been no trading activity during the prior calendar year.	\$25
MISCELLANEOUS BROKERAGE FEES	
Includes, but is not limited to, clearance or transfer of restricted stock, physical security processing, Penny Stock re-registration and Free Deliveries DTC.	Pricing Available Upon Request
TRANSFER AGENT CHARGES	
Transfer Agent Charges	As incurred by Clearing firm passed through to ETC Brokerage.

*Such fee is inclusive of applicable statement and confirmation delivery charges.

Note: Brokerage accounts are established at COR Clearing LLC, the clearing firm used by ETC Brokerage Services as your broker. ETC Brokerage Services is an affiliate of Equity Trust Company; however your brokerage account (should you open one) is maintained with ETC Brokerage Services and all brokerage services and transactions in the brokerage account will be rendered and effected by ETC Brokerage Services (not Equity Trust Company). There is NO ADDITIONAL fee to establish or maintain this account. However, to the extent applicable, if you have established a brokerage account with ETC Brokerage Services, the following per transaction fees correspond to the brokerage transactions executed in your brokerage account. Any funds needed for brokerage purchases will automatically be pulled via a bank link from your FDIC insured cash account with Equity Trust upon settlement date. In addition, funds received from a sale in your brokerage account will automatically be deposited through this same bank link to your FDIC insured cash account with Equity Trust upon settlement.

INVESTMENT PRODUCTS: NOT FDIC INSURED ♦ NO BANK GUARANTEE ♦ MAY LOSE VALUE